

Case study

From Limited Preparation Time to a Successful Regulatory Inspection

Building Inspection Readiness Across Complex Local Operations

OUTCOME



SUCCESSFUL INSPECTION

Positive regulatory outcome



NO MAJOR FINDINGS



RAPID CLOSURE

Of minor observations



EXPANDED RESPONSIBILITIES

Following increased client confidence

CHALLENGE | AN ANNOUNCED INSPECTION LEFT LIMITED TIME TO ALIGN FRAGMENTED LOCAL PROCESSES

A regulatory inspection was announced with limited preparation time. Local processes varied between affiliates, documentation was fragmented, and oversight had to be maintained despite limited access to client systems.

1

Limited preparation time

2

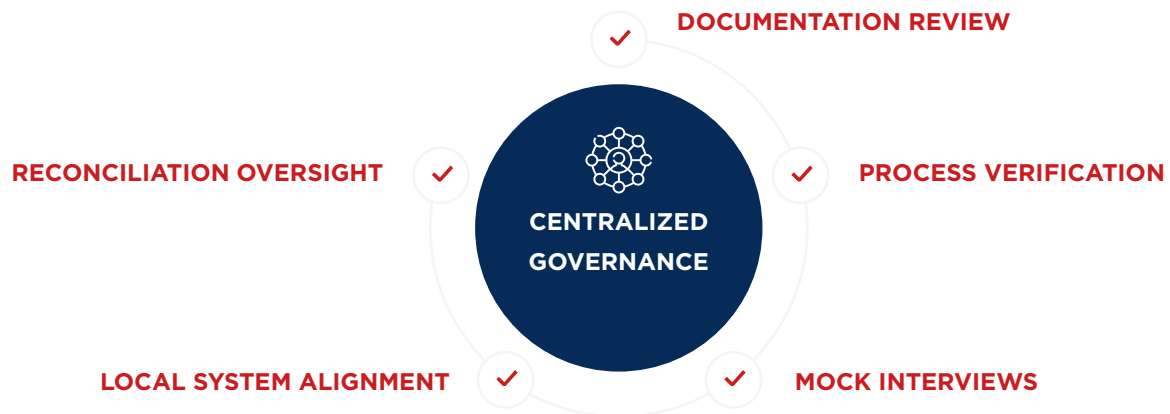
Inconsistent affiliate processes

3

Fragmented documentation & restricted system access

OUR APPROACH | A STRUCTURED READINESS PROGRAM RAPIDLY ALIGNED PROCESSES, DOCUMENTATION AND TEAMS

PrimeVigilance developed a structured inspection-readiness program covering documentation review, process verification, mock interviews, reconciliation oversight, and local system alignment. Local and regional experts collaborated under centralized governance.



WHAT MADE IT SUCCESSFUL

- Structured preparation
- Local + regional expertise
- Centralized oversight
- Rapid issue resolution

**Inspection readiness should be a continuous capability,
not a last-minute activity.**

**Ready to Partner?
Contact Us Today!**

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